

TO: **Mail Stop 8**  
**Director of the U.S. Patent and Trademark Office**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**REPORT ON THE  
 FILING OR DETERMINATION OF AN  
 ACTION REGARDING A PATENT OR  
 TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
 filed in the U.S. District Court EDPA on the following ☐ Patents or ☒ Trademarks:

DOCKET NO. 13-1616	DATE FILED 3/27/13	U.S. DISTRICT COURT EDPA Clerk's Office Room 2609, 601 Market St, Philadelphia, PA 19106
PLAINTIFF THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA		DEFENDANT WHARTON BUSINESS FOUNDATION
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 1892916	5/9/95	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
2 1789592	8/24/93	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
3 3449956	6/17/08	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
4 3165806	10/31/06	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
3081856	4/18/06	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
2845089	5/25/04	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
4064558	11/29/11	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA
5 3091404	5/9/06	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT
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CLERK	(BY) DEPUTY CLERK	DATE
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy